

# Extending finite-memory determinacy by Boolean combination of winning conditions

Mickael Randour

F.R.S.-FNRS & UMONS – Université de Mons, Belgium

June 22, 2019

*MoRe 2019 – 2nd International Workshop on Multi-objective Reasoning  
in Verification and Synthesis*



## The talk in one slide

### Strategy synthesis for two-player games on graphs

Finding **good** controllers for systems interacting with an *antagonistic* environment.

- ▶ Good? Performance evaluated through *objectives* / *payoffs*.

### Question

When are *simple* strategies sufficient to play optimally?

- ▶ We establish a general framework that preserves **finite-memory determinacy** when combining objectives.
- ▶ Joint work with S. Le Roux and A. Pauly, in FSTTCS'18 [RPR18] (on [arXiv](#)).

- 1 Context, games, strategies
- 2 Memoryless determinacy
- 3 Finite-memory determinacy and Boolean combinations
- 4 Conclusion and ongoing work

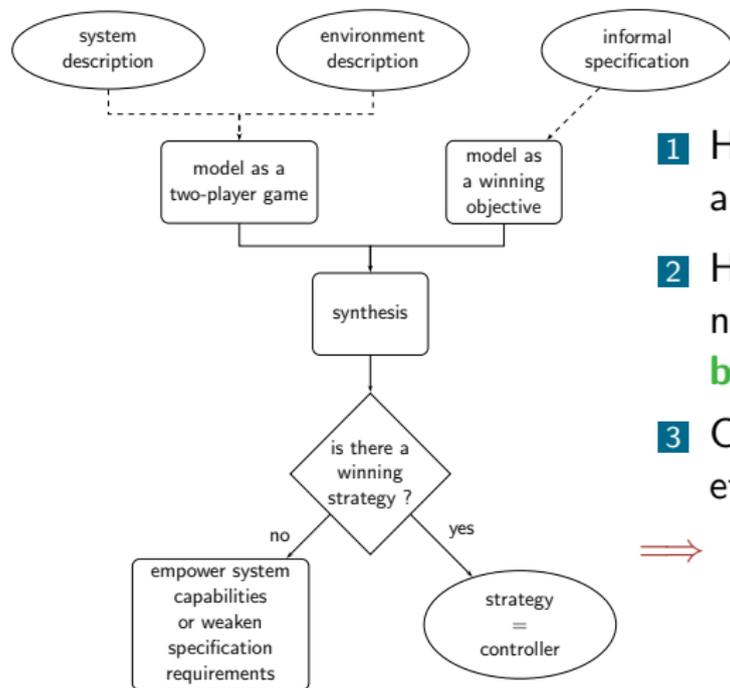
# 1 Context, games, strategies

## 2 Memoryless determinacy

## 3 Finite-memory determinacy and Boolean combinations

## 4 Conclusion and ongoing work

# Strategy synthesis for two-player games



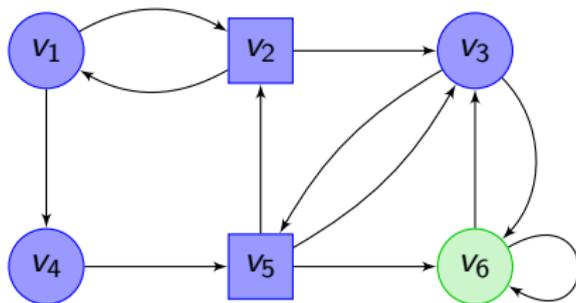
- 1 How complex is it to **decide** if a winning strategy exists?
- 2 How complex such a **strategy** needs to be? **Simpler is better.**
- 3 Can we **synthesize** one efficiently?

⇒ **Focus on Question 2.**

## Games on graphs: example

We consider *finite* arenas with vertex *colors* in  $C$ . Two players: circle (1) and square (2). Strategies  $C^* \times V_i \rightarrow V$  (w.l.o.g.).

- ▷ A **winning condition** is a set  $W \subseteq C^\omega$ .



**From where can Player 1 ensure to reach  $v_6$ ? How complex is his strategy?**

**Memoryless strategies ( $V_i \rightarrow V$ ) always suffice for reachability (for both players).**

- 1 Context, games, strategies
- 2 Memoryless determinacy**
- 3 Finite-memory determinacy and Boolean combinations
- 4 Conclusion and ongoing work

# When are memoryless strategies sufficient to play optimally?

Virtually always for **simple** winning conditions!

Examples: reachability, safety, Büchi, parity, mean-payoff, energy, total-payoff, average-energy, etc.

**Can we characterize when they are?**

Yes, thanks to Gimbert and Zielonka [[GZ05](#)] (see also, e.g., [[Kop06](#), [AR17](#)]).

## Gimbert and Zielonka's criterion

Memoryless strategies suffice for a *preference relation* (and the induced winning conditions) iff

- 1 it is **monotone**,
  - ▷ Intuitively, stable under prefix addition.
- 2 it is **selective**.
  - ▷ Intuitively (the true characterization is slightly more subtle), stable under cycle mixing.

Example: reachability.

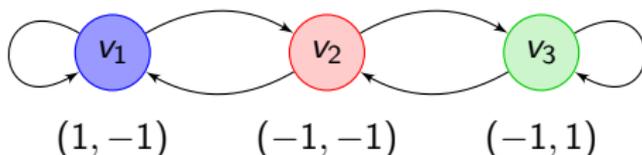
**No equivalent for finite memory!**

I will come back to that... 😊

## Combining winning conditions (1/2)

**Multi-objective reasoning is crucial to model trade-offs and interplay between several qualitative and quantitative aspects.**

**Memoryless strategies do not suffice anymore, even for simple conjunctions!**



Examples:

- Büchi for  $v_1$  *and*  $v_3$   $\rightarrow$  **finite** (1 bit) memory.
- Mean-payoff (average weight per transition)  $\geq 0$  on all dimensions  $\rightarrow$  **infinite** memory!

## Combining winning conditions (2/2)

### Our goal

We want a *general* and *abstract* theorem guaranteeing the sufficiency of **finite-memory strategies**<sup>a</sup> in games with **Boolean combinations of objectives** provided that the underlying **simple objectives** fulfil some criteria.

---

<sup>a</sup>Implementable via a finite-state machine.

### Advantages:

- ▶ study of core features ensuring finite-memory determinacy,
- ▶ works for almost all existing settings and many more to come.

### Drawbacks:

- ▶ concrete memory bounds are huge (as they depend on the most general upper bound).
- ▶ sufficient criterion, not full characterization.

- 1 Context, games, strategies
- 2 Memoryless determinacy
- 3 Finite-memory determinacy and Boolean combinations**
- 4 Conclusion and ongoing work

# The building blocks

The full approach is technically involved but can be sketched intuitively.

## Criterion outline

Any *well-behaved* winning condition combined with conditions traceable by finite-state machines (i.e., *safety-like* conditions) preserves finite-memory determinacy.

To state this theorem formally, we need three ingredients:

- 1 *regularly-predictable* winning conditions,
- 2 *regular* languages,
- 3 *hypothetical* subgame-perfect equilibria (hSPE).

## Regular predictability

### Regularly-predictable winning condition

A winning condition is regularly-predictable if for all games, for all vertices, there exists a **finite automaton** that recognizes the color histories from which Player 1 has a winning strategy.

- All prefix-independent objectives are regularly-predictable.
- Reachability and safety are not prefix-independent but are regularly-predictable.

### Regular-predictability $\neq$ FM determinacy!

- ▷ Energy games with only a lower bound are memoryless determined but not regularly-predictable.
- ▷ Let  $W$  be the non-regular sequences in  $\{0, 1\}^\omega$ : it is prefix-independent hence regularly-predictable but finite-memory strategies do not suffice to win.

## Regular combinations of winning objectives

Let  $\mathcal{W}$  be a class of winning conditions closed under Boolean combinations (can be the trivial one).

We denote by  $R_\ell(\mathcal{W})$  the set of winning objectives obtained by Boolean combination of objectives in  $\mathcal{W}$  and  $\ell$  **safety-like conditions based on regular languages over  $C$**  (i.e., conditions asking that there is no prefix of the play in the regular language).

**Examples:** fully-bounded energy conditions and window conditions can be described as regular languages, hence added freely in Boolean combinations with more general objectives.

### Remark

Regular conditions are regularly-predictable, not the opposite.

## Hypothetical subgame-perfect equilibria

A *strategy profile* where both players play optimally after all initial histories

- ▷ that are possible from the starting vertex in the arena is called a *subgame-perfect equilibrium (SPE)*.
- ▷ in  $C^*$  is called a **hypothetical** SPE.

HSPEs are technically useful when combining games.

**FM hSPE slightly more restrictive than FM determinacy.**

**Morally equivalent in almost all settings.**

⇒ **We will see a corner case later.**

## Our main result (sketch)

### Regular combinations preserve FM determinacy

Let  $\mathcal{W}$  be a class of winning conditions that

- 1 is closed under Boolean combinations,
- 2 is regularly-predictable,
- 3 ensures the existence of finite-memory hSPE.

Then all conditions in  $R_\ell(\mathcal{W})$  also satisfy properties 2 and 3.

If you think of it as combinations with safety-like conditions, not surprising. . .

But finding the **good concepts** and proving the result was difficult!

## Rediscovery of FM determinacy results (1/2)

**Regular conditions:** reachability, safety, fully-bounded energy, window (mean-payoff and parity), etc.

### Regularly-predictable conditions.

- *Regular ones.* Multi-dimension fully-bounded energy games [BFL<sup>+</sup>08, BMR<sup>+</sup>18, BHM<sup>+</sup>17], conjunctions of window objectives [CDRR15, BHR16a], extension to Boolean combinations.
- *Parity and Muller.* Combinations expressible in the closed class, can be mixed in any Boolean combination with regular languages and retain FM determinacy. Generalized parity games [CHP07], or combinations of parity conditions with window conditions [BHR16b], extension to Boolean combinations.

## Rediscovery of FM determinacy results (2/2)

- *Mean-payoff*. Regularly-predictable and admits FM hSPE. Not true for Boolean combinations [VCD<sup>+</sup>15, Vel15]. One can take  $\mathcal{W}$  as the trivial class containing one mean-payoff condition and its complement, and use it in Boolean combinations with regular languages.
- *Average-energy, total-payoff and energy with no upper bound*. Not regularly-predictable as one needs to be able to store an arbitrarily large sum of weights in memory to decide if Player 1 can win from a given prefix. Hence our theorem cannot be applied to these conditions.

## Theorem applicability

### Some conditions we do not cover

Combinations of mean-payoff, average-energy, total-payoff, or combinations of mean-payoff and parity.

**But they do not preserve FM determinacy!**  
[VCD<sup>+</sup>15, Vel15, BMR<sup>+</sup>18, CDRR15, CHJ05]

**And we rediscover many results from the literature** [BFL<sup>+</sup>08, BMR<sup>+</sup>18, BHM<sup>+</sup>17, CDRR15, BHR16a, CHP07, BHR16b] **and are able to extend them to more general combinations (or to completely novel ones).**

# Corner cases: FM determined combinations we do not cover

We know of three cases:

- 1 conjunctions of **energy** conditions [CRR14, JLS15],
- 2 conjunctions of **energy** and parity conditions [CD12, CRR14],
- 3 conjunctions of **energy** and a single average-energy condition [BHM<sup>+</sup>17].

Observation: common technique in ad-hoc proofs

Proving equivalence with games where the energy condition can be bounded *both* from below *and from above*, for a sufficiently large bound.

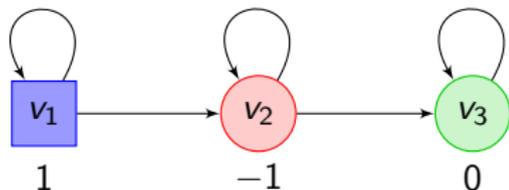
⇒ **We retrieve applicability of our theorem for cases 1 and 2.**

## Focus: average-energy + energy conditions

**Only case of preservation of FM determinacy which we do not cover!**

- ▶ The average-energy condition is not regularly-predictable [BMR<sup>+</sup>18, BHM<sup>+</sup>17].
- ▶ And it behaves rather oddly in comparison to all other classical objectives. . . .

**Average-energy games with a lower-bounded energy condition are FM determined but do not admit FM hSPE, the only setting in this case to our knowledge.**



Goal: reach  $v_3$  with sum zero.

- ▶ FM determined.
- ▶ SPE require infinite memory.

- 1 Context, games, strategies
- 2 Memoryless determinacy
- 3 Finite-memory determinacy and Boolean combinations
- 4 Conclusion and ongoing work**

## Conclusion

- Combining similar simple objectives leads to contrasting behaviors: difficult to extract the core features leading to FM determinacy.
- Our main result is a **sufficient criterion**, not a full characterization.
  - ▷ In practice, it does cover everything except *average-energy with a lower-bounded energy condition* – a very strange corner case.
  - ▷ **Any weakening of our hypotheses almost immediately leads to falsification.**
  - ▷ We also have several **more precise results** (e.g., much lower bounds) for specific combinations and/or restrictive hypotheses.

## Ongoing work

We now have an almost complete picture of the frontiers of FM determinacy for *combinations of objectives*.

**What about a complete characterization à la Gimbert and Zielonka?**

**Ongoing work with P. Bouyer, S. Le Roux, Y. Oualhadj and P. Vandenhove. Promising preliminary results.**

# Thank you! Any question?

# References I



Benjamin Aminof and Sasha Rubin.

First-cycle games.

[Inf. Comput.](#), 254:195–216, 2017.



Patricia Bouyer, Uli Fahrenberg, Kim G. Larsen, Nicolas Markey, and Jiří Srba.

Infinite runs in weighted timed automata with energy constraints.

In Franck Cassez and Claude Jard, editors, [Formal Modeling and Analysis of Timed Systems](#), volume 5215 of [Lecture Notes in Computer Science](#), pages 33–47. Springer Berlin Heidelberg, 2008.



Patricia Bouyer, Piotr Hofman, Nicolas Markey, Mickael Randour, and Martin Zimmermann.

Bounding average-energy games.

In Javier Esparza and Andrzej S. Murawski, editors, [Foundations of Software Science and Computation Structures - 20th International Conference, FOSSACS 2017, Held as Part of the European Joint Conferences on Theory and Practice of Software, ETAPS 2017, Uppsala, Sweden, April 22-29, 2017, Proceedings](#), volume 10203 of [Lecture Notes in Computer Science](#), pages 179–195, 2017.



Véronique Bruyère, Quentin Hautem, and Mickael Randour.

Window parity games: an alternative approach toward parity games with time bounds.

In Domenico Cantone and Giorgio Delzanno, editors, [Proceedings of the Seventh International Symposium on Games, Automata, Logics and Formal Verification, GandALF 2016, Catania, Italy, 14-16 September 2016.](#), volume 226 of [EPTCS](#), pages 135–148, 2016.



Véronique Bruyère, Quentin Hautem, and Jean-François Raskin.

On the complexity of heterogeneous multidimensional games.

In Josée Desharnais and Radha Jagadeesan, editors, [27th International Conference on Concurrency Theory, CONCUR 2016, August 23-26, 2016, Québec City, Canada](#), volume 59 of [LIPIcs](#), pages 11:1–11:15. Schloss Dagstuhl - Leibniz-Zentrum fuer Informatik, 2016.

# References II



Patricia Bouyer, Nicolas Markey, Mickael Randour, Kim G. Larsen, and Simon Laursen.  
Average-energy games.  
[Acta Inf.](#), 55(2):91–127, 2018.



Krishnendu Chatterjee and Laurent Doyen.  
Energy parity games.  
[Theor. Comput. Sci.](#), 458:49–60, 2012.



Krishnendu Chatterjee, Laurent Doyen, Mickael Randour, and Jean-François Raskin.  
Looking at mean-payoff and total-payoff through windows.  
[Inf. Comput.](#), 242:25–52, 2015.



Krishnendu Chatterjee, Thomas A. Henzinger, and Marcin Jurdzinski.  
Mean-payoff parity games.  
In [20th IEEE Symposium on Logic in Computer Science \(LICS 2005\)](#), 26–29 June 2005, Chicago, IL, USA, [Proceedings](#), pages 178–187. IEEE Computer Society, 2005.



Krishnendu Chatterjee, Thomas A. Henzinger, and Nir Piterman.  
Generalized parity games.  
In Helmut Seidl, editor, [Foundations of Software Science and Computational Structures, 10th International Conference, FOSSACS 2007, Held as Part of the Joint European Conferences on Theory and Practice of Software, ETAPS 2007, Braga, Portugal, March 24–April 1, 2007, Proceedings](#), volume 4423 of [Lecture Notes in Computer Science](#), pages 153–167. Springer, 2007.



Krishnendu Chatterjee, Mickael Randour, and Jean-François Raskin.  
Strategy synthesis for multi-dimensional quantitative objectives.  
[Acta Inf.](#), 51(3-4):129–163, 2014.

# References III



Hugo Gimbert and Wieslaw Zielonka.

Games where you can play optimally without any memory.

In Martín Abadi and Luca de Alfaro, editors, CONCUR 2005 - Concurrency Theory, 16th International Conference, CONCUR 2005, San Francisco, CA, USA, August 23-26, 2005, Proceedings, volume 3653 of Lecture Notes in Computer Science, pages 428–442. Springer, 2005.



Marcin Jurdzinski, Ranko Lazic, and Sylvain Schmitz.

Fixed-dimensional energy games are in pseudo-polynomial time.

In Magnús M. Halldórsson, Kazuo Iwama, Naoki Kobayashi, and Bettina Speckmann, editors, Automata, Languages, and Programming - 42nd International Colloquium, ICALP 2015, Kyoto, Japan, July 6-10, 2015, Proceedings, Part II, volume 9135 of Lecture Notes in Computer Science, pages 260–272. Springer, 2015.



Eryk Kopczynski.

Half-positional determinacy of infinite games.

In Michele Bugliesi, Bart Preneel, Vladimiro Sassone, and Ingo Wegener, editors, Automata, Languages and Programming, 33rd International Colloquium, ICALP 2006, Venice, Italy, July 10-14, 2006, Proceedings, Part II, volume 4052 of Lecture Notes in Computer Science, pages 336–347. Springer, 2006.



Stéphane Le Roux, Arno Pauly, and Mickael Randour.

Extending finite-memory determinacy by Boolean combination of winning conditions.

In Sumit Ganguly and Paritosh K. Pandya, editors, 38th IARCS Annual Conference on Foundations of Software Technology and Theoretical Computer Science, FSTTCS 2018, December 11-13, 2018, Ahmedabad, India, volume 122 of LIPIcs, pages 38:1–38:20. Schloss Dagstuhl - Leibniz-Zentrum fuer Informatik, 2018.

# References IV



Yaron Velner, Krishnendu Chatterjee, Laurent Doyen, Thomas A. Henzinger, Alexander Moshe Rabinovich, and Jean-François Raskin.

The complexity of multi-mean-payoff and multi-energy games.  
[Inf. Comput.](#), 241:177–196, 2015.



Yaron Velner.

Robust multidimensional mean-payoff games are undecidable.

In Andrew M. Pitts, editor, [Foundations of Software Science and Computation Structures - 18th International Conference, FoSSaCS 2015, Held as Part of the European Joint Conferences on Theory and Practice of Software, ETAPS 2015, London, UK, April 11-18, 2015. Proceedings](#), volume 9034 of [Lecture Notes in Computer Science](#), pages 312–327. Springer, 2015.